

#### <u> Architeoture : urban pla</u>nning - engineering

April 10, 2009

Mr. William Thomas
U.S. Department of Housing and Urban Development
Office of RESPA and Interstate Land Sales
451 Seventh Street, SW, Room 9154
Washington, DC 20410

Re:

ILS 32438 and 32439, Borden East River Realty LLC, 11/49 Realty LLC, and Simone Development Company, L.L.C., One Hunters Point Condominium, I-09-096; Hunters View Condominium, I-09-07

Dear Mr. Thomas:

My firm, NDG Architect, P.C., was retained and continues to represent Borden East River Realty LLC ("Borden"), the developer and sponsor of a twelve story high rise condominium project located at 5-49 Borden Avenue, Long Island City, Queens, New York (the "Hunters Point Project") and 11/49 Realty LLC ("11/49"), the developer of a twelve story high rise condominium project located at 48-15 11th Street, Long Island City, Queens, New York (the "Hunters View Project").

On February 17, 2009, a temperary certificate of occupancy ("TCO") was issued for the Hunters Point Project, a copy of which is attached hereto as Exhibit 1. Also attached hereto as Exhibit 2 is a copy of the current renewal of that TCO issued on April 8, 2009. As set forth on the renewal TCO there are twenty-five (25) outstanding requirements for the issuance of the permanent certificate of occupancy ("PCO") for the Hunters Point Project (see attached Exhibit 3).

On March 12, 2009, a TCO was issued for the Hunters View Project, a copy of which is attached hereto as Exhibit 4. As set forth on this TCO, there are twenty-four (24) outstanding requirements for the issuance of the PCO for the Hunters View Project (see attached Exhibit 5).



Mr. William Thomas

Premises:

One Hunters Point Condominium, 1-09-096

Hunters View Condominium, I-09-97

April 10, 2009

Page 2

Pursuant to the Zoning Resolution of the City of New York, as amended, and the applicable Building Codes of the State and City of New York, all of the Units listed on the TCO for each Project are physically habitable and may legally be occupied for private residential use which is the intended use. None of the listed outstanding requirements for each Project prevent this occupancy and none of them effect the safety of either project for occupancy. In New York City, occupancy of a new residential building typically occurs pursuant to a TCO with the PCO obtained after the building is occupied. The existence of such outstanding requirements prior to obtaining a PCO are not unusual for this type of consumction in the City of New York.

As the architects for the Projects, we hereby represent that Borden and 11/49 are presently addressing all outstanding requirements for the issuance of the PCO of each Project. Furthermore, the escrowed amounts set forth in the attached certifications of our firm issued on March 5th and 19th, 2009 respectively (see Exhibit 6) are more than sufficient to secure the issuance of each PCO.

Very truly yours,

NDG Architects, P.C.

Mitchell D. Newman, A.I.A., President

ec:

Borden East River Realty LLC

11/49 Realty LLC

D'Agostino, Levine & Landesman, LLP

EXHIBIT "1"



Page 1 of 2

CO Number:

402115661T001

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued.

This document or a copy shall be available for inspection at the building at all reasonable times.

A.	Borough: Queens Address: 5-49 BORDEN AVE Building Identification Number (BIN): 4860183	Block Number; 00034  Lot Number(s): 12  Building Type: New	Certificate Type: Temporary Effective Date: 02/17/2009 Expiration Date: 04/18/2009
	For zoning lot metes & bounds, please see BISWe	b.	
В.	Construction classification: 1-C	(1968 Code)	
	Building Occupancy Group classification: J2	(1968 Code)	
Į	Multiple Dwelling Law Classification: HACA		
	No. of stories: 12 Height	n feet: 123	No. of dwelling units: 138
C.	Fire Protection Equipment: Standpipe system, Fire alarm system, Sprinkler system	1	
D.	Type and number of open spaces: None associated with this filing.		
<b>E.</b>	This Certificate is issued with the following legal li None	mitations:	
[	Outstanding requirements for obtaining Final Certifi	cate of Occupancy:	2320 232
Ī	here are 24 outstanding requirements. Please refer to E	ISWeb for further detail.	
	Borough Comments:		
F	HIS TEMP CERTIFICATE OF OCCUPANY IS FOR FL LOOR,	OORS 1-11 AND ROOF ONLY, I	EXCLUDING THE ENTIRE 12TH

Borough Commissioner

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Commissioner

DOCUMENT CONTINUES ON NEXT PAGE



Page 2 of 2

**CO** Number:

402115661T001

			Perm	issible Us	e and Oc	cupancy	
All Buile	ding Code					ignations, except RES, COM, or PUB roup designations.	which
Floor From To	Maximum persons permitted	Live load lbs per sq. ft.	Building Code occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use	
001	8	OĞ	8-2	<u> </u>	2	STORAGE REFUSE ROOMS	
001	14	OG	D-2		2 .	MECHANICAL ROOMS	
001	12	OG	J-2		2	LOBBY, LOUNGE	·····
001	8	OG	F-3		2	GYM .	
001		ŌĠ	J-2	1	2	ONE (1) APARTMENT	
001	· · · · · · · · · · · · · · · · · · ·	OG	B-2		2	25 ACCESSORY PARKING SPACES	<del></del>
302 008	<del></del>	40	J-2	13	2	THIRTEEN (13) APARTMENTS ON EACH	FLOOF
009 012		40	<b>J-</b> 2	10	2	TEN (10) APARTMENTS ON EACH FLOOP	₹
ROF		100	J-2	**	2	TENANT RECREATION AREA (26 CABAN)	AS)
***********				END OF	SECTION		

Borough Commissioner

END OF DOCUMENT

402115661/001 2/17/2009 9:05;38 AM

Commissioner

EXHIBIT "2"



Page 1 of 2

CO Number:

402115661T002

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. This document or a copy shall be available for inspection at the building at all reasonable times.

Α.	Borough: Queens Address: 5-49 BORDEN AVE	Block Number: 000 Lot Number(s): 12	34 Certificate Type: Temporary Effective Date: 04/08/2009
	Building Identification Number (BIN): 486	0183 Building Type: Nev	Expiration Date: 08/07/2009
	For zoning lot metes & bounds, please see	BISWeb.	
В.	Construction classification:	1-C (1968 Ca	ode)
	Building Occupancy Group classification:	J2 (1968 Co	ode)
	Multiple Dwelling Law Classification:	HACA	
	No. of stories: 12	Height in feet: 123	No. of dwelling units: 138
C.	Fire Protection Equipment: Standpipe system, Fire alarm system, Sprinkle	r system	
D.	Type and number of open spaces: None associated with this filing.		=
E.	This Certificate is issued with the following None	legal fimitations:	
Ī	Outstanding requirements for obtậining Fina	ıl Certificate of Occupancy:	
Ī	There are 25 outstanding requirements. Please r	efer to BISWeb for further detail.	
	Borough Comments: None		

Borough Commissioner

TO THE

Commissioner

DOCUMENT CONTINUES ON NEXT PAGE



Page 2 of 2

CO Number:

402115661T002

All Build	ling Code					signations, except RES, COM, or PUB which roup designations.
Floor From To	Maximum persons permitted	lbs per	Building Gode occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use
001	8	OG	B-2		2	STORAGE REFUSE ROOMS
001	14	OG	D-2		2	MECHANICAL ROOMS
100	12	OG	J-2		2	LOBBY, LOUNGE
001	8	OG	F-3	······································	2	GYM
001	<u></u>	OG	J-2	1	2	ONE (1) APARTMENT
002 008	T. 11916/25 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	40	J-2	13	2	THIRTEEN (13) APARTMENTS ON EACH FLOOF
009 012		40	J-2	10	2	TEN (10) APARTMENTS ON EACH FLOOR
ROF		100	J-2		2	TENANT RECREATION AREA (26 CABANAS)

Borough Commissioner

END OF DOCUMENT

40211S661/002 4/8/2009 1:41:53 PM

Commissioner

EXHIBIT "3"

Page 1 of 2

# 

B-SCAN List of Required Items NYC. Department of Buildings

Premises: 5-49 BORDEN AVENUE QUEENS

always open

Job Type: NB - NEW BUILDING Job No: 402115661 CERTIFIED 1 PRI REQUIRED RECEIVED SGN 04/20/2005 ADDRESS: NEW HOUSE NUMBER APPROVED 25 OPEN ITEMS OF 91 REQUIRED FOR JOB Block: 34 Lot: 1 **CERTIFICATE OF OCCUPANCY** MECHANICAL (MH) SIGNOFF EQUIPMENT USE PERMITS SPRINKLER (SP) SIGNOFF STANDPIPE (SD) SIGNOFF ELEVATOR JOB SIGNOFF CURB CUT (CC) SIGNOFF BOILER (BL.) SIGNOFF BPP: FINAL SIGNOFF SITE SURVEY: FINAL BIN: 4850183

SGN 04/20/2005 SGN 04/20/2005 SGN 04/20/2005 SGN 09/15/2008 SGN 10/27/2008 SGN 01/23/2009 Z Z Z Z Z Z Z Z Z Z Z Z Z 3'6" FENCE IN CITY WIDENING STREET, OBTAIN REVOKABLE CONSENT

SGN 04/20/2005

SGN 04/20/2005

SGN 04/20/2005 SGN 04/20/2005

SGN 04/20/2005 SGN 04/20/2005

CO OBJ: VERIFY ADDRESS-TOPO STAMP

FINAL ELECTRICAL SIGNOFF

FINAL CONST. SIGNOFF

VERIFY TAX LOT

FINAL ELEVATOR SIGNOFF

FINAL PLUMBING SIGNOFF

OPEN APPLICATIONS SEARCH

FOLDER REVIEW

VIOLATIONS SEARCH

ELECTRICAL OBJ: OPEN APPLICATIONS

ELEVATOR OBJ: OPEN ELV APP

SGN 04/20/2005 SGN 04/20/2005

MASONRY SUPPLIER'S STATEMENT-FORM 10J

4/8/2009 http://a810-bisweb.nyc.gov/bisweb/BScanItemsRequiredServiet?rqidpriortoa=on&rqidpriortop=on&rqiditemstatus=open&rqidpr...

HP000288

## B-SCAN List of Required Items

TCO NOT ISSUED AS STATED ABOVE FROM D.O.T.

(\*) N = Can be received by clerical staff; T = Can only be received by a plan examiner N SGN 04/08/2009

Some items may not be required for your specific work filing.

Enter Criteria for Required Item Display

Which Items Should Be Displayed?

Display Items Required Prior To:

O All Items

Approval Permit

V Signoff

Open Items Only Redisplay

<u>.</u>

if you have any questions please review these <u>Frequently Asked Questions,</u> the <u>Glossary,</u> or oall the 311 Citizen Service Center by dialing 311 or (212) NEW YORK autside of New York City. http://a810-bisweb.nyc.gov/bisweb/BScanItensRequiredServlet?rqidpriortoa=on&rqidpriortop=on&rqiditenstatus=open&rqidpr... 4/8/2009

EXHIBIT "4"

HP000290



Page 1 of 3

CO Number:

402437065T001

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. This document or a copy shall be available for inspection at the building at all reasonable times.

Α.	Borough: Queens Address: 48-15 11 STREET Building Identification Number (BIN): 4862699	Block Number: Lot Number(s): Building Type:	00061 80 New	Certificate Type: Effective Date: Expiration Date:	03/12/2009
	For zoning lot metes & bounds, please see BISW	eb.			
В.	Construction classification: 1-D	(19	68 Code)		
	Building Occupancy Group classification: J2	(19	68 Code)		
	Multiple Dwelling Law Classification: HAEA				
	No. of stories: 12 Height	in feet: 125	,	No. of dwelling unit	ts: 72
c.	Fire Protection Equipment: None associated with this filing.				
D.	Type and number of open spaces: Parking spaces (37), Parking (14403 square feet)				
E.	This Certificate is issued with the following legal None	limitations:			
	Outstanding requirements for obtaining Final Cert				
	There are 24 outstanding requirements. Please refer to	BISWeb for further de	tail.		
	Borough Comments: None				

Borough Commissioner

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Commissioner

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Page 2 of 3

CO Number:

402437065T001

			Perm	iissible Us	se and Oc	cupancy
All Build	ding Code	occupano ar	cy group des e 1938 Build	ignations a ing Code oc	re 1968 des сиралсу д	signations, except RES, COM, or PUB which roup designations.
Floor From Ta	Maximum persons permitted	Live load lbs per sq. ft.	Building Code occupancy group	Dwelling or Rooming Units	Zoning use group	I
CEL			J-2		28	ACCESSORY (22) PARKING SPACES
CEL.			D-2		2B	MECHANICAL ROOM
CEL.	***********	OG .	B-2		2B	TENANT STORAGE,
OSP		100	J-2		2B	OUTSIDE RECREATION AREA
001	<del></del>	40	J-2		2B	ACCESSORY (15) PARKING SPACES
001		40	J-2		2B	ACCESSORY EXERCISE ROOM
001		40	J-2		2B	LOBBY, LOUNGE
002		40	J-2	7	2	(7) CLASS 'A' APARTMENTS
003		40	J-2	7	2	(7) CLASS 'A' APARTMENTS
004		40	J-2	7	2	(7) CLASS 'A' APARTMENTS
005		40	J-2	7	2	(7) CLASS 'A' APARTMENTS
<del>5</del> 06	<del></del>	40	J-2	7	2	(7) CLASS 'A' APARTMENTS
007		40	J-2	7	2	(7) CLASS 'A' APARTMENTS
-	ST	- Car		_		and lli

Borough Commissioner

Commissioner

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Page 3 of 3

CO Number:

402437065T001

All Build	ding Code	occupano	y group des	ignations a	re 1968 des	ignations, except RES, COM, or PUB whic
						roup designations.
Floor From To		Live load lbs per sq. ft.	Building Gode occupancy group	Dwelling or Rooming Units	Zoning use group	Description of use
008		40	J-2	6	2	(6) CLASS 'A' APARTMENTS
009	<b></b>	40	J-2	6	2	(6) CLASS A APTS.
010		40	J-2	6	2	(6) CLASS A APTS.
111		40	J-2	6	2	(6) CLASS A APTS.
12		40	J-2	6	2	(6) CLASS A APTS.
ROF	31	100	J-2	<del></del>	2	TENANT RECREATION SPACE (15 CABANAS) 31 MAX OCCUPANTS.
OF						MECHANICAL & ELEVATOR ROOMS

Borough Commissioner

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END OF DOCUMENT

Commissioner

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EXHIBIT "5"

always open

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B-SCAN List of Required Items NYC Department of Buildings

	333			
Premises: 48-15 11 STREET QUEENS BIN: <u>4862699</u> Block: 61 Lot: 80	•			j 1
24 OPEN ITEMS OF 66 REQUIRED FOR JOB	WHO	PR	REGUIRED	RECEIVE
* D F ( ) - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	2	2	מ אל	DATE

Job Type; NB - NEW BILL DING	REQUIRED RECEIVED DATE W	ת ה	4 1/2000 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	08/21/2006	08/21/2006	08/21/2006	08/21/2006	08/21/2006	08/21/2006	08/24/2006	DB/24/2000B	0.000 1.000	00/21/20/00	21/2008	00/21/20UB	08/21/2006	08/21/2006	08/21/2006	08/21/2006	08/21/2006	08/21/2006	08/24/2008	000777000	4 1/2/00	08/21/2006	08/21/2006	12/08/2008	12/01/2008
						_												-	_									
		D	j (	とうか	Z90	SGN	SGN	SGN	SGN	SGN	NOS	0	2 0	3 0	5	SGS	SGN	SGN	SGN	SGN	SGN	NO.	מ מ	5 7	Z 500	SGN	SGN	SGN
	WHO RECV*	2	: 2	2:	z	Z	z	z	z	z	z	Z	z	2 2	<b>:</b> 1	-	z	z	z	z	z	z	Z	Z :	z	z	z	z
Premises: 48-15 17 STREET QUEENS BIN: <u>4862699</u> Block: 61 Lot: 80	24 OPEN ITEMS OF 66 REQUIRED FOR JOB	* DEMOLITION (DM) JOB SIGNOFF	CERTIFICATE OF OCCUPANCY	SPRINK HE (AB) SCONDER	STANDEDE (SD) SIGNOGE			DETECTOR:SMOKE-CERT, OF INSTALLATION	SITE SURVEY: FINAL	PLUMBING (PL) SIGNOFF	BOILER (BL) SIGNOFF	CONSTRUCTION (OT) SIGNOFF	BPP; FINAL SIGNOFF	DEMOLITION (DM) JOB SIGNOFF	ROIL FR ARPROVAL GOOD		DETECTOR: CARBON MONOXIDE CERT, OF INSTALL	MASONRY SUPPLIER'S STATEMENT-FORM 10J	FINAL PLUMBING SIGNOFF	FINAL ELECTRICAL SIGNOFF	FINAL CONST. SIGNOFF	VERIFY TAX LOT	VIOLATIONS SEARCH	HORARA SNOTTACT INTO SHOOT			MECHANICAL (MH) SIGNOFF	CURB CUT # REQUIRED

http://a810-bisweb.nyc.gov/bisweb/BScanItemsRequiredServlet?requestid=4&rqidpriortoa=on&rqidpriortop=on&rqidpriortos=0...

4/8/2009

(\*) N = Can be received by clenical staff; T = Can only be received by a plan examiner Some items may not be required for your specific work filing.

Enter Criteria for Required Item Display

Which Items Should Be Displayed? Display Items Required Prior To:

년 Approval

O All Items

Signoff

Redisplay Reset (a) Open Items Only

If you have any questions please review these Frequently Asked Questions, the Glossary, or call the 311 Clitzen Service Center by dialing 311 or (212) NEW YORK outside of New York City.

http://a810-bisweb.nyc.gov/bisweb/BScanltemsRequiredServlet?requestid=4&rqidpriortoa=on&rqidpriortop=on&rqidpriortos=o...

4/8/2009

EXHIBIT "6"



#### ARCHITECTURE . DARAM PLANNING . ENGINEERIN

#### Certification of Sponsor's Architect

March 5, 2009

New York State Department of Law Investment Protection Bureau Real Estate Financing Section 120 Broadway, 23<sup>rd</sup> Floor New York, New York 10271

Re: One Hunters Point Condominium (the "Condominium")
5-49 Borden Avenue, Long Island City, New York (the "Property")
CD07-0082

To Whom It May Concern:

I, Mitchell D. Newman, am an Architect licensed to practice in the State of New York. This firm prepared a report dated January 16, 2007 describing the construction of the Property in connection with the submission of an offering for the sale of condominium units (the "Plan") by Borden East River Realty LLC ("Sponsor") which Plan was accepted for filing by the Department of Law on September 11, 2007.

We understand that this firm is responsible for complying with Article 23-A of the General Business Law and the regulations promulgated by the Department of Law in part 20 of Title 13 NYCRR.

The Sponsor has advised that the first residential unit closing has taken place prior to the issuance of a permanent certificate of occupancy. Pursuant to the requirements of Section 20.3(t)(12) of Title 13 NYCRR, Sponsor will need to deposit with the escrow agent the sum of \$\frac{300.000}{300.000}\$, which we estimate to be the amount reasonably needed to complete the outstanding work required to obtain a permanent certificate of occupancy more specifically [attach schedule describing work to be done and cost].



We certify that this letter:

- 1. Sets forth the required work to be completed to obtain a permanent certificate of occupancy.
- 2. Provides potential purchasers and current unit owners an adequate basis upon which to found their judgment concerning the condition of the Property as it will exist upon receipt of the permanent certificate of occupancy;
  - 3. Does not omit any material facts;
  - 4. Does not contain any fraud, deception, concealment or suppression;
- Does not contain any promise or representation as to the future which is beyond reasonable explanation or unwarranted by existing circumstances; and
- 6. Does not contain any representation or statement which is false where we (i) knew the truth; (b) with reasonable effort could have known the truth; (c) made no reasonable effort to ascertain the truth; (d) did not have knowledge concerning the representation or statement made.

We further certify that we are not owned or controlled by and have no beneficial interest in the Sponsor and that our compensation for preparing this letter is not contingent on the Condominium receiving the permanent certificate of occupancy or on the profitability of the Sponsor. This letter is not intended as a guarantee or warranty of the total cost to obtain the permanent certificate of occupancy.

NDG Architect, P.C.

By: Mitchell D. Newman, A.I.A.

President

Sworn to before me this 5<sup>th</sup> of March 2009

OI IVIAICII 2003

Elisa Spada Notary Public

ELISA SPADA

NOTARY PUBLIC-STATE OF NEW YORK
No. 01896137227

Qualified in Nassau County

My Commission Expires November 21, 2009



Date: March 5, 2009

RE: 5-49 Borden Avenue, Long Island City, NY

The following is the schedule of work to be completed for the above referenced project:

<ul> <li>Balcony/Terrace Glass Railings</li> </ul>	\$20,000
<ul> <li>9th Floor Terrace Waterproofing</li> </ul>	20,000
Interior Frame/Doors	5,000
Painting	25,000
Signage/Directory	20,000
Privacy Screens	10,000
• Lobby	30,000
Bathroom Mirrors	5,000
Plumbing (Misc.)	25,000
HVAC (Start-Up/Balancing/Misc.)	25,000
Electrical	25,000
Close-Out Paperwork	41,250
• Ganopy	35,000
Completion of Builders Pavement Plan	0
& Planting of Street Trees	
(\$78,200 in separate escrow account	
by Sponsor with DOT/NYC Parks Dept.)	•
Miscellaneous	13,750
4 Misporanenga	
TOTAL	\$300,000



#### ARCHITECTURE · URBAN PLANNING · ENGINEERING

#### Certification of Sponsor's Architect

March 19, 2009

New York State Department of Law Investment Protection Bureau Real Estate Financing Section 120 Broadway, 23<sup>rd</sup> Floor New York, New York 10271

> : Hunters View Condominium (the "Condominium") 48-15 11th Street; Long Island City, New York 11101 (the "Property") CD07-0240

To Whom It May Concern:

I, Mitchell D. Newman, am an Architect licensed to practice in the State of New York. This firm prepared a report dated March 15, 2007 describing the construction of the Property in connection with the submission of an offering for the sale of condominium units (the "Plan") by 11/49 Realty LLC ("Sponsor") which Plan was accepted for filing by the Department of Law on September 11, 2007.

We understand that this firm is responsible for complying with Article 23-A of the General Business Law and the regulations promulgated by the Department of Law in part 20 of Title 13 NYCRR.

The Sponsor has advised that the first residential unit closing has taken place prior to the issuance of a permanent certificate of occupancy. Pursuant to the requirements of Section 20.3(t)(12) of Title 13 NYCRR, Sponsor will need to deposit with the escrow agent the sum of \$\frac{\$110,000}{}\$, which we estimate to be the amount reasonably needed to complete the outstanding work required to obtain a permanent certificate of occupancy more specifically [attach schedule describing work to be done and cost].



We certify that this letter:

- 1. Sets forth the required work to be completed to obtain a permanent certificate of occupancy.
- 2. Provides potential purchasers and current unit owners an adequate basis upon which to found their judgment concerning the condition of the Property as it will exist upon receipt of the permanent certificate of occupancy;
  - Does not omit any material facts;
  - 4. Does not contain any fraud, deception, concealment or suppression;
- 5. Does not contain any promise or representation as to the future which is beyond reasonable explanation or unwarranted by existing circumstances; and
- 6. Does not contain any representation or statement which is false where we (i) knew the truth; (b) with reasonable effort could have known the truth; (c) made no reasonable effort to ascertain the truth; (d) did not have knowledge concerning the representation or statement made.

We further certify that we are not owned or controlled by and have no beneficial interest in the Sponsor and that our compensation for preparing this letter is not contingent on the Condominium receiving the permanent certificate of occupancy or on the profitability of the Sponsor. This letter is not intended as a guarantee or warranty of the total cost to obtain the permanent certificate of occupancy.

NDG Architect, P.C.

Mitchell D. Newman, A.I.A., President

Sworn to before me this 19th of March 2009

Elisa Spada

Notary Public

NOTARY PUBLIC-STATE OF NEW YORK No. 01596137227

Qualified in Nassau County My Commission Expires November 21, 2009



Date: March 19, 2009

RE: 48-15 11th Street, Long Island City, NY

The following is the schedule of work to be completed for the above referenced project:

<ul> <li>Painting Touch-Up</li> <li>Signage</li> <li>Plumbing (Misc.)</li> </ul>	5,000 10,000 10,000
HVAC (Start-Up/Balancing/Misc.)	10,000
Electrical (Misc.)	15,000
Close-Out Paperwork	30,000
<ul> <li>Canopy</li> </ul>	20,000
<ul> <li>Completion of Builders Pavement Plan &amp; Planting of Street Trees (\$48,128 in separate escrow account by Sponsor with DOT/NYC Parks Dept.)</li> </ul>	0
Miscellaneous	10,000
TOTAL	\$110,000

#### Jose Rivera

From: Jose Rivera

Sent: Friday, April 24, 2009 2:51 PM

To: 'Thomas, William'

Subject: RE: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View

Condominium; I-09-097 and ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

Good afternoon Mr. Thomas.

I hope all is well.

We are just confirming that you have received our opinion letter regarding the ability to occupy a unit in New York City with a T C of O.

Please advise.

Thank you.

Best regards, Jose

x cid:image002.jpg@01C91CC7.5F57A9A0

Jose M. Rivera, Esq., Partner D'Agostino, Levine & Landesman, L.L.P. 345 Seventh Avenue 23rd Floor New York, New York 10001 email: <u>irīvera@dagll.com</u> (212) 564-9800 Ext. 414 Fax (212) 564-9802

Website: www.dagll.com

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From: Thomas, William [mailto:William.Thomas@hud.gov]

Sent: Wednesday, April 22, 2009 3:46 PM To: Jose Rivera; 'ledermanb@verizon.net'

**Subject:** ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097 and ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters

Point Condominium; I-09-096; I-09-131 and I-09-133

Bruce H. Lederman, Esquire
Jose M Rivera, Esquire, Partner
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(212) 564-9800 Ext. 414
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ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097

ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

It was a pleasure to talk to the two of you again.

I appreciate the perspective that you have in representing your client as well as your recognition of the Department's position of addressing the public interest of the American consumer.

You indicated that you may be providing 24 CFR 1710.4(d) evidence of the exemptions at the time of first offering which they believe they are entitled to, However, as we discussed, in the event that your clients' amended response to the complaints involves a decision to address a potential settlement negotiation, please request that the complaints be transitioned to the Office of Finance and Regulatory Compliance Program Compliance Division for negotiation. At that point, I will prepare the detailed Case Summary and transfer the cases to that Division.

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Thank you for your and your client's past cooperation and the current willingness to proceed in a spirit of cooperation for both your client's and the public's interest.

Page 3 of 3

William, J. Thomas

William J. Thomas, MBA, Certified Paralegal Consumer Protection Compliance Specialist Office of RESPA/Interstate Land Sales Department of Housing and Urban Development Room 9154
451 7th Street SW
Washington DC 20410
Phone: 202-402-3006

Phone: 202-402-3006 Fax: 202-708-4559

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#### Jose Rivera

From:

Thomas, William [William.Thomas@hud.gov]

Sent:

Friday, April 24, 2009 3:20 PM

To:

Jose Rivera

Subject: RE: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097 and ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

Received the FEDEX and your e-mail

William J. Thomas

Fax: 202-708-4559

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From: Jose Rivera [mailto:jrivera@dagll.com]

Sent: Friday, April 24, 2009 2:51 PM

To: Thomas, William

Subject: RE: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097 and ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

Good afternoon Mr. Thomas.

I hope all is well.

We are just confirming that you have received our opinion letter regarding the ability to occupy a unit in New York City with a T C of O.

Please advise.

Thank you.

Best regards, Jose

Page 2 of 3

x cid:image002.jpg@01C91CC7.5F57A9A0

Jose M. Rivera, Esq., Partner D'Agostino, Levine & Landesman, L.L.P. 345 Seventh Avenue 23rd Floor New York, New York 10001 email: <u>irivera@dagll.com</u> (212) 564-9800 Ext. 414 Fax (212) 564-9802

Website: www.dagil.com

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From: Thomas, William [mailto:William.Thomas@hud.gov]

**Sent:** Wednesday, April 22, 2009 3:46 PM **To:** Jose Rivera; 'ledermanb@verizon.net'

**Subject:** ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097 and ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

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ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

It was a pleasure to talk to the two of you again.

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William, J. Thomas

William J. Thomas, MBA, Certified Paralegal Consumer Protection Compliance Specialist Office of RESPA/Interstate Land Sales Department of Housing and Urban Development Room 9154 451 7th Street SW Washington DC 20410 Phone: 202-402-3006 Fax: 202-708-4559

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#### Jose Rivera

From:

Jose Rivera

Sent:

Monday, April 27, 2009 9:23 AM

To:

'Thomas, William'

Subject: RE: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; 1-09-097 and ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

Thank you Sir.

Please let us know if you require any additional information.

Best regards, Jose

cid:image002.jpg@01C91CC7.5F57A9A0

Jose M. Rivera, Esq., Partner D'Agostino, Levine & Landesman, L.L.P. 345 Seventh Avenue 23rd Floor New York, New York 10001 email: jrivera@dagll.com (212) 564-9800 Ext. 414 Fax (212) 564-9802

Website: www.dagll.com

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From: Thomas, William [mailto:William.Thomas@hud.gov]

Sent: Friday, April 24, 2009 3:20 PM

To: Jose Rivera

**Subject:** RE: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097 and ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

Received the FEDEX and your e-mail

William, J. Thomas

Fax: 202-708-4559

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Sent: Friday, April 24, 2009 2:51 PM

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**Subject:** RE: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097 and ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

Good afternoon Mr. Thomas.

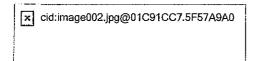
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Please advise.

Thank you.

Best regards, Jose



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ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097

ILS-32438 and Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096; I-09-131 and I-09-133

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William J. Thomas

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Phone: 202-402-3006 Fax: 202-708-4559

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#### Jose Rivera

From: Thomas, William [William.Thomas@hud.gov]

Sent: Friday, May 01, 2009 9:14 AM

To: Jose Rivera

Subject: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View

Condominium; I-09-09 and ILS-32438 Borden East River Realty LLC and Simone Development

Company LLC; One Hunters Point Condominium; 1-09-096

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ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097

ILS-32438; Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096

Regarding our phone conversation yesterday, the material received will be reviewed in due course by the Department. You will be contacted should the Department need further information or upon the completion of the Department's investigation as well as when the Advisory Opinions are issued. This email it not to be construed as an opinion on the part of this office that the subdivisions referenced above are exempt or not covered by the Interstate Land Sales Full Disclosure Act.

William, J. Thomas

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Page 2 of 2

official advisory opinion was obtained.

From: Jose Rivera

Sent:

Friday, May 01, 2009 9:32 AM

To:

'Thomas, William'

Subject: RE: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View

Condominium; I-09-09 and ILS-32438 Borden East River Realty LLC and Simone Development

Company LLC; One Hunters Point Condominium; I-09-096

Good morning Mr. Thomas.

I hope all is well.

Thank you for your correspondence.

Please keep us posted. Thank you.

Best regards, Jose

x cid:image002.jpg@01C91CC7.5F57A9A0

Jose M. Rivera, Esq., Partner D'Agostino, Levine & Landesman, L.L.P. 345 Seventh Avenue 23rd Floor New York, New York 10001 email: irivera@dagll.com (212) 564-9800 Ext. 414 Fax (212) 564-9802

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From: Thomas, William [mailto:William.Thomas@hud.gov]

Sent: Friday, May 01, 2009 9:14 AM

To: Jose Rivera

**Subject:** ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-09 and ILS-32438 Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point

Condominium; I-09-096

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ILS-32438; Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096

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William, J. Thomas

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From: Thomas, William [William.Thomas@hud.gov]

Sent: Thursday, May 14, 2009 12:14 PM

To: Jose Rivera

Subject: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View

Condominium; I-09-097 and ILS-32438; Borden East River Realty LLC and Simone Development

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ILS-32438; Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096

As we discussed in our phone call I will attempt to begin review today or tomorrow. Confirmed with e-mail.

William, J. Thomas

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From:

Jose Rivera

Sent:

Thursday, May 14, 2009 1:24 PM

To:

'Thomas, William'

Subject: RE: ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View

Condominium; I-09-097 and ILS-32438; Borden East River Realty LLC and Simone Development

Company LLC; One Hunters Point Condominium; I-09-096

Thank you Mr. Thomas.

Best regards, Jose

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**Sent:** Thursday, May 14, 2009 12:14 PM

To: Jose Rivera

**Subject:** ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097 and ILS-32438; Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096

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email: jrivera@dagll.com

ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium; I-09-097

ILS-32438; Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium; I-09-096

As we discussed in our phone call I will attempt to begin review today or tomorrow. Confirmed with e-mail.

William J. Thomas

William J. Thomas, MBA, Certified Paralegal Consumer Protection Compliance Specialist Office of RESPA/Interstate Land Sales Department of Housing and Urban Development Room 9154 451 7th Street SW Washington DC 20410 Phone: 202-402-3006

Phone: 202-402-3006 Fax: 202-708-4559

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# D'AGOSTINO, LEVINE & LANDESMAN, L.L.P.

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- ALSO ADMITTED IN CT
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May 18, 2009

Via Email William. Thomas@HUD.gov

Mr. William Thomas
U.S. Department of Housing and Urban Development
Office of RESPA and Interstate Land Sales
451 Seventh Street, SW, Room 9154
Washington, DC 20410

Re:

ILS 32438 and ILS 32439, Borden East River Realty LLC ("Borden"), 11/49 Realty LLC ("11/49"), (collectively Borden and 11/49 are referred to as the "Developers") and Simone Development Company L.L.C., One Hunters Point Condominium ("Hunters Point"); I-09-096; I-09-131; and I-09-133, Hunters View Condominium, ("Hunters View") I-09-97 (collectively the "Projects")

Dear Mr. Thomas:

As you know we are counsel to Borden and 11/49.

We are hereby responding to your request, via email dated May 18, 2009, regarding the status of the: 1) primary gas, water, sewer and telephone utility service lines and; 2) surrounding public roadways for the respective Projects.

Please note that the Developers have provided, and all primary gas, water, sewer, and telephone utility service lines have been extended in front of, or adjacent to the respective buildings comprising the condominium Projects. Additionally, please note that the gas, water, sewer, and telephone utility infrastructure within the respective condominium Projects have been extended to each residential Unit.

Mr. William Thomas May 18, 2009 Page 2

Further, please note that all surrounding public roadways, including the roadways providing access to the respective condominium Projects are maintained by the City of New York and are currently complete and open to the public. Said roadways include 11<sup>th</sup> Street and 49<sup>th</sup> Avenue, which are adjacent to Hunters View and Borden Avenue, which is adjacent to Hunters Point.

Should you require any additional information please call.

Very truly yours,

D'AGOSTINO, LEVINE & LANDESMAN, L.L.P.

By:

HP000323

From: Thomas, William [William.Thomas@hud.gov]

Sent: Monday, May 18, 2009 12:18 PM

To: Jose Rivera

Subject: Advisory Opinions ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters

View Condominium and ILS-32438 Borden East River Realty LLC and Simone Development

Company LLC; One Hunters Point Condominium

Jose M Rivera, Esquire, Partner D'Agostino, Levine & Landesman, L.L.P. 345 Seventh Avenue, 23rd Floor New York NY 10001 (212) 564-9800 Ext. 414 Fax (212) 564-9802 email: jrivera@dagll.com

# BY WAY OF REPLY, PLEASE CONFIRM RECEIPT OF THIS E-MAIL.

ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium;

ILS-32438 Borden East River Realty LLC and Simone Development Company LLC; One Hunters Point Condominium;

Instead of sending a formal deficiency, based upon our mutual working together in the last several weeks, this e-mail is being sent to offer the ability to modify the material for the Advisory Opinions. On behalf of the Developer, please provide the following two Statements on your letterhead for each Development:

#### **Hunters View Condominium**

All primary gas, water, sewer, and telephone utility service lines have been extended in front of, or adjacent to the building comprising the condominium project and that the gas, water, sewer, and telephone utility infrastructure within the condominium project has been extended to each Unit.

All surrounding public roadways, including the roadways providing access to the condominium are currently complete and open to the public. [Enumerate the roadways].

# One Hunters Point Condominium

All primary gas, water, sewer, and telephone utility service lines have been extended in front of, or adjacent to the building comprising the condominium project and that the gas, water, sewer, and telephone utility infrastructure within the condominium project has been extended to each Unit.

All surrounding public roadways, including the roadways providing access to the condominium are currently complete and open to the public. [Enumerate the roadways].

You can call me, William J. Thomas, on (202) 402-3006 if you have questions. My e-mail address is william.thomas@hud.gov.

Page 2 of 2

William J. Thomas

William J. Thomas, MBA, Certified Paralegal Consumer Protection Compliance Specialist Office of RESPA/Interstate Land Sales Department of Housing and Urban Development Room 9154
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From:

Jose Rivera

Sent:

Monday, May 18, 2009 3:53 PM

To:

'Thomas, William'

Subject:

RE: Advisory Opinions ILS-32439; 11/49 Realty LLC and Simone Development Company LLC; Hunters View Condominium and ILS-32438 Borden East River Realty LLC and Simone

Development Company LLC; One Hunters Point Condominium

Attachments: HUD Utility and Road Statement.pdf

Good afternoon Mr. Thomas.

I hope all is well.

In response to your request regarding the utilities and roadways please see our response which is annexed hereto.

Please confirm that you received this email and let us know if the attached letter is sufficient or if you will require that we forward the original to you.

Thank you.

Best regards, Jose

x cid:image002.jpg@01C91CC7.5F57A9A0

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